



MINUTES
Meeting of the Executive Council
Thursday, June 9, 2011
Palace Hotel
San Francisco, CA

Warren Procci, M.D., President & Chair
Robert Galatzer-Levy, M.D., Secretary

Executive Council Meeting Attendance

OFFICERS OF THE COUNCIL

Warren Procci, M.D., President & Chair
Robert L. Pyles, M.D., President-elect
Judith S. Schachter, M.D., Treasurer
Robert Galatzer-Levy, M.D., Secretary

EX OFFICIO MEMBERS OF THE COUNCIL

K. Lynne Moritz, M.D., Past President
Prudence Gourguechon, M.D., Past President

Colleen L. Carney, Ph.D., Chair, BOPS
Lee I. Ascherman, M.D., Secretary, BOPS

COUNCILORS - AT - LARGE

Lee I. Ascherman, M.D.
David I. Falk, Ph.D.
Lee Jaffe, Ph.D.
Malkah Notman, M.D.
Ralph E. Fishkin, D.O.

REPRESENTATIVE COUNCILORS

Association for Psychoanalytic Medicine
Jules Kerman, M.D., Ph.D.

Atlanta Psychoanalytic Society
M. Jane Yates, Ph.D.

Austin/San Antonio Psychoanalytic Society
Richard G. Michael, Ph.D. (a.m. only)
Marianna Adler, Ph.D. (alt.) (a.m. only)

Baltimore Washington Center for Psychoanalysis
No representative present

Berkshire Psychoanalytic Society and Institute
No representative present

Boston Psychoanalytic Society and Institute
Stephanie Dee Smith, MA, LICSW

Chicago Psychoanalytic Society
Elizabeth Lennihan, LCSW (alt)

Cincinnati Psychoanalytic Society and Institute
Peter Kotcher, M.D.

Cleveland Psychoanalytic Center
Roknedin Safavi, M.D.

Dallas Psychoanalytic Center
No representative present

Denver Psychoanalytic Center
Ronnie M. Shaw, MS, RN, CS
Rex H. McGehee, M.D. (alt.)

Florida Psychoanalytic Society
Julio Calderon, M.D.

Greater Kansas City-Topeka Psychoanalytic Center
Bonnie J. Buchele, Ph.D.

Houston-Galveston Psychoanalytic Society
Sharon Gerber, LCSW
William Myerson, Ph.D. (alt)

Long Island Psychoanalytic Society
No representative at this time

Michigan Psychoanalytic Society
Steven E. Nickoloff, M.D.
Kathleen Moore, Ph.D. (alt.) (p.m. only)

Minnesota Psychoanalytic Society and Institute
No representative present

New Center for Psychoanalysis
Dahlia N. Russ, Psy.D. (non-voting)
Jeffrey Seitelman, M.D. (alt)

New Jersey Psychoanalytic Society
No representative present

New Orleans-Birmingham Psychoanalytic Center
Lee I. Ascherman, M.D.

New York Psychoanalytic Society and Institute
David Sawyer, M.D.

North Carolina Psychoanalytic Society
David Moore, Ph.D.

Oregon Psychoanalytic Center
No representative present

PINE Psychoanalytic Center
Malcolm Beaudett, M.D.

Pittsburgh Psychoanalytic Center
David V. Orbison, M.D.

Psychoanalytic Association of New York
Barry Rand, M.D.

Psychoanalytic Center of Philadelphia
Barbara Young, M.D.

Psychoanalytic Society of Upstate New York
Arlene Kramer Richards, Ed.D. (alt.)

Saint Louis Psychoanalytic Society
K. Lynne Moritz, M.D.

San Diego Psychoanalytic Society and Institute
Maria Ritter, Ph.D. (non- voting)

San Francisco Center for Psychoanalysis
William C. Glover, Ph.D.

Seattle Psychoanalytic Society and Institute
Sandra Walker, M.D.
Michael Gundle, M.D. (alt.)

Southwest Psychoanalytic Society
Sydney Arkowitz, Ph.D. (a.m. only)

Tampa Bay Psychoanalytic Society
No representative present

Virginia Psychoanalytic Society
No representative present

Washington Center for Psychoanalysis
No representative present

Western New England Psychoanalytic Society
No representative present

Wisconsin Psychoanalytic Society
Virginia Linabury, M.D.

AFFILIATE STUDY GROUPS REPRESENTATIVES

Nashville Psychoanalytic Study Group
No representative present

AFFILIATED STUDY GROUP REPRESENTATIVES

Birmingham Psychoanalytic Study Group;
Lee I. Ascherman, M.D.

Eastern Long Island Psychoanalytic Study Group
No representative present

Missoula Psychoanalytic Study Group
No representative present

Santa Fe Psychoanalytic Study Group
No representative present

Society of Psychoanalysts of Puerto Rico
No representative present

Syracuse Psychoanalytic Study Group
No representative present

NON-VOTING REPRESENTATIVES

American Institute for Psychoanalysis
Arthur Lynch, DSW

William Alanson White Society
Miri Abramis, Ph.D.

PARLIAMENTARIAN

Sheila Hafter Gray, M.D., Parliamentarian

ACTIONS OF THE EXECUTIVE COUNCIL AT THIS MEETING

1. The Secretary's report was approved.
 - A. Minutes of the January 13, 2011 Executive Council Meeting were approved.
 - B. The Consent Calendar was approved:
 - Approval was given to sunset the Committee on Peer Review.
 - The Missoula Psychoanalytic Study Group was disaffiliated.
 - APsaA's Defined Benefit Plan Investment Policy was approved.
 - The APsaA Treasurer was approved as the APsaA Sigourney Co-Trustee.
 - C. The actions of Executive Committee since the last Executive Council meeting were approved.
 - From the May 5, 2011 Executive Committee conference call, 8 motions by Dr. Schachter were not accepted to be added to the agenda.
 - The Executive Committee decided to survey the members regarding meeting sites for June 2012.
 - The Executive Committee approved sending a proposed bylaws amendment to the Executive Council for consideration.
 - The Executive Committee approved a letter to the president if IPA regarding child focused training.
 - The Executive Committee approved an agreement with Dr. Arnold Richards exchanging complimentary exhibit space at our meetings for advertising on his blog.
 - The Executive Committee appointed Leo Rangell the first Honorary President of APsaA.
 - The Executive Committee reviewed and approved the draft communications letters prepared by Mr. Stein regarding possible committee budget reductions.
 - The Executive Committee approved a policy drafted by Mr. Stein regarding conference calls. Going forward, any committee wanting to have conference calls must arrange them through the National Office; no reimbursements will be made for conference calls not arranged through the National Office.
 - The Executive Committee received a report from the Election Oversight Committee (EOC) and unanimously voted to accept receipt of the report.
 - The Executive Committee passed a motion to increase the annual fee for Educator Associates from \$25 to \$40.
 - The Executive Committee reviewed and unanimously approved two out-of-cycle budget requests. One request was in the amount of \$1,980 from Dr. Carney on behalf of the Committee on Institutes to hire a research assistant to revise and reformat the Annual Report which is currently used to track information in our institutes. The second request was from Brian Canty at the National Office for \$7,200 as an initial payment to have the current membership database system upgraded which would significantly improve the functionality of the database, especially in preparing for meetings.
2. Other actions taken during the Executive Council Meeting
 - A. A confidentiality policy was approved.
 - B. An out of cycle budget request for \$40,000 for the Accreditation Council for Psychoanalytic Education (ACPE) was approved - \$20,000 this year and \$20,000 next year.
 - C. Council endorsed efforts to ensure that voting for Society Councilor be limited to APsaA members, as stipulated in the APsaA bylaws.
 - D. The report and recommendations of the Committee on Council was approved.

- E. The proposed Fiscal Year 2012 budget was approved.
- F. Two policies concerning APsaA's Reserve Funds were approved – an Investment Policy and a Use Policy for the Reserve Funds.
- G. The Executive Council approved the proposed Strategic Plan and authorized moving to next steps including implementation.
- H. The Executive Council approved a minority report recommendation of the Task Force on the Future of Spring Meetings:
The Program Committee is charged with the task of creating a new 2-3 day Spring meeting format. The Program Committee will undertake an electronic survey of the membership for the purpose of incorporating member feedback in the redesign. The hope is that a re-designed Spring Meeting will be implemented in June 2013. The June 2012 Spring Meeting will be held in the traditional format.
- I. The proposed election guidelines including elimination of Election Oversight Committee and revised disclosure statement for candidates running for office was approved.
- J. The Council considered the proposed petition bylaw amendment and voted to recommend to the membership to “vote their conscience.” The proposed bylaw amendment would add two Executive Councilors to the Executive Committee, provide a vote for the Chair and Secretary of BOPS on the Executive Committee, and provide a vote for the Association officers on BOPS.
- K. The following elections were held:
- The following individuals were nominated to run for President-elect:
Eric Nuetzel
Mark Smaller
 - The following individuals were elected to the Nominations Advisory Committee:
Stephen Bernstein
Jules Kerman
 - The following individuals were nominated to run for Councilor-at-Large in January:
Hilli Dagony-Clark
Ellen Helman
Paul Holinger
Jeff Seitelman
 - The following individuals were elected to be Council guests on the Executive Committee:
David Falk
Peter Kotcher
 - The following individuals were elected to the Committee on Council:
Prudy Gourguechon
David Orbison
Robert Pyles

- The following individuals were elected to the Membership Requirements & Review Committee:
Julio Calderon
Prudy Gourguechon
Barbara Young
- The following individuals were elected to the Policies & Procedures Committee:
Virginia Linabury
Lynne Moritz
Barbara Young
- David Falk was elected to the Audit Committee
- Lynne Moritz was elected to the Council Nominations Advisory Committee
- David Falk was elected to the Compensation Committee

1. CALL TO ORDER AND OPENING REMARKS – Dr. Procci

Dr. Procci called the meeting to order at 8:05am. He noted at a revised consent calendar had been distributed. He also noted that the proposed JAPA Editorial Board members and their bios had been distributed and would be voted on in Executive Session. Dr. Procci noted that attendance at this meeting was the highest as compared to the past several June meetings.

Dr. Procci asked for approval of the agenda. A motion was proposed to remove Item iii on the Consent Calendar (Approval of proposed policy from the Nominations Advisory Committee concerning ethical disclosure statements by candidates running for office) and have it moved to the agenda for discussion. It was moved, seconded, and approved to accept the agenda with the one change to the Consent Calendar.

2. SECRETARY’S REPORT – Dr. Galatzer-Levy

A. Roll Call

Dr. Galatzer-Levy explained that he would not go through the ceremony of calling the roll. Rather, each Councilor introduced themselves around the table. Dr. Galatzer-Levy reminded the Council that each person needs to identify him/herself when speaking. He reminded everyone that if a Councilor leaves the room, s/he must inform a staff person. The Alternate Councilor can only vote if the Councilor is out of the room. If there is no Alternate Councilor, then the Society has no vote in the Councilor’s absence. When a Councilor returns, s/he should let the staff member know. He reminded Councilors to not take the voting card out of the room; the voting cards need to be with a Councilor or Alternate Councilor while they are in the room, or with a staff person.

B. Notice of Proxies

The Secretary reported that 348 proxy ballots were received in the National Office as of June 10, 2011.

C. Approval of minutes of January 13, 2011 Executive Council Meeting

The Secretary asked for additions and corrections to the minutes of the Executive Council Meeting of January 13, 2011, which were posted in the back of the meeting room.

Upon motion duly made and seconded, the minutes were approved unanimously.

D. Consent Calendar

- i. Approval to sunset the Committee on Peer Review
- ii. Disaffiliation of the Missoula Psychoanalytic Study Group
- iii. Approval of two policies concerning APsaA's Reserve Funds.
- iv. Final approval of APsaA's Defined Benefit Plan Investment Policy
- v. Appointment of the Sigourney Trustee

Upon motion duly made and seconded, the Consent Calendar was unanimously approved.

E. Membership Update

The Secretary gave a summary of the Association's membership.

Of the 3,139 current members, 1,518 are Active Members, 1,034 are Senior/Life Members, 557 are Affiliate Members, and 30 are Academic Associates.

The following changes in membership have occurred since the January 2011 meeting:

70 Active Members became Senior/Life Members

At the January 2011 Meeting, we welcomed 48 New Active Members and 69 New Candidate Members

10 members have died

20 members have resigned

1 Member was removed from the Rolls following January's Ethics Decision

2 Candidate Members were dropped because they are no longer candidates in training and 4 were dropped because they have completed Academic Training at their Institute

11 members have been reinstated

The Associate totals as of June 1, 2011:

Educator Associates	87
Psychotherapist Associates	309
Research Associates	59
Student/Resident Associates	99
Total	554

F. Summary of Actions of Executive Committee (since the last Executive Council meeting)

The Secretary summarized the actions of the Executive Committee acting for the Board of Directors since the January 2011 Executive Council meeting:

- From the May 5, 2011 Executive Committee conference call, 8 motions by Dr. Schachter were not accepted to be added to the agenda.
- The Executive Committee decided to survey the members regarding meeting sites for June 2012.
- The Executive Committee approved sending a proposed bylaws amendment to the Executive Council for consideration.
- The Executive Committee approved a letter to the president if IPA regarding child focused training.

- The Executive Committee approved an agreement with Dr. Arnold Richards exchanging complimentary exhibit space at our meetings for advertising on his blog.
- The Executive Committee appointed Leo Rangell the first Honorary President of APsaA.
- The Executive Committee reviewed and approved the draft communications letters prepared by Mr. Stein regarding possible committee budget reductions.
- The Executive Committee approved a policy drafted by Mr. Stein regarding conference calls. Going forward, any committee wanting to have conference calls must arrange them through the National Office; no reimbursements will be made for conference calls.
- The Executive Committee received a report from the Election Oversight Committee (EOC) and unanimously voted to accept receipt of the report.
- The Executive Committee passed a motion to increase the annual fee for Educator Associates from \$25 to \$40.
- The Executive Committee reviewed and unanimously approved two out-of-cycle budget requests. One request was in the amount of \$1,980 from Dr. Carney on behalf of the Committee on Institutes to hire a research assistant to revise and reformat the Annual Report which is currently used to track information in our institutes. The second request was from Brian Canty at the National Office for \$7,200 as an initial payment to have the current membership database system upgraded which would significantly improve the functionality of the database, especially in preparing for meetings.
- A motion regarding confidentiality was passed by the Executive Committee to be presented to the Executive Council for approval.

The draft confidentiality policy was distributed to the Executive Council. Dr. Galatzer-Levy noted that the proposed policy might seem draconian. However, he stated that the effort is to communicate the seriousness with which the Executive Committee takes the issue of confidentiality.

It was moved, seconded, and approved to accept the Secretary's Report and the actions of the Executive Committee with the exception of the Confidentiality Policy.

The Executive Council then discussed the proposed Confidentiality Policy.

It was noted that the proposed policy had not yet been reviewed by the Association's legal counsel. It was moved and seconded to delay consideration of the Confidentiality Policy until APsaA's legal counsel was consulted. The motion failed.

It was moved and seconded to refer the proposed policy to the Committee on Policies and Procedures for further study and that Committee report back at the January 2012 Executive Council meeting. The motion failed.

It was moved and seconded to approve the proposed Confidentiality Policy. The motion was approved 22 to 11.

As this was his last report to the Executive Council as Secretary, Dr. Galatzer-Levy thanked Tina Faison, Alice Rapkin, Dean Stein, and the national office for all the assistance provided to him in fulfilling the responsibilities of Secretary. He shared his view that the organization often ties itself in knots but fails to address the urgent reality-based external problems as an organization and as a profession. The need for the Association to be active rather than reactive is enormous.

Dr. Procci thanked Dr. Galatzer-Levy for his work as Secretary.

3. EXECUTIVE SESSION

A. ELECTIONS

Nominations and elections for office and the various positions in the Association took place in Executive Session.

The results are as follows:

- a. The following individuals were nominated to run for President-elect:
Eric Nuetzel
Mark Smaller
- b. The following individuals were elected to the Nominations Advisory Committee:
Stephen Bernstein
Jules Kerman
- c. The following individuals were nominated to run for Councilor-at-Large in January:
Hilli Dagony-Clark
Ellen Helman
Paul Holinger
Jeff Seitelman
- d. The following individuals were elected to be Council guests on the Executive Committee:
David Falk
Peter Kotcher
- e. The following individuals were elected to the Committee on Council:
Prudy Gourguechon
David Orbison
Robert Pyles
- f. The following individuals were elected to the Membership Requirements & Review Committee:
Julio Calderon
Prudy Gourguechon
Barbara Young
- g. The following individuals were elected to the Policies & Procedures Committee:
Virginia Linabury
Lynne Moritz
Barbara Young
- h. David Falk was elected to the Audit Committee
- i. Lynne Moritz was elected to the Council Nominations Advisory Committee
- j. David Falk was elected to the Compensation Committee

- k. The Executive Council approved the slate of nominees to the *JAPA* editorial board:
Charles Jaffe
Rona Knight
Donald Moss
Bret Rutherford
Adele Tutter
Arnold Wilson

4. REPORT FROM THE EDITOR OF *JAPA*

Dr. Levy presented the *JAPA* report. He noted that the Editorial Office continues to enjoy a good working relationship with Sage, *JAPA*'s publisher. In 2010, the Journal received 201 submissions, which was an increase over 2009. In 2011, the Journal has received 61 submissions so far. *JAPA* will publish six issues in 2011. Although the Journal strives to maintain the publication schedule, there have been delays because of a reduced number of publishable submissions.

Dr. Levy noted that subscription information for 2010 provided by Sage shows a much better trend. He indicated that *JAPA* is in an enviable position when compared with other scholarly journals based on subscriptions. Individual and member subscriptions are down somewhat from 2009 but consortium subscriptions increased by 28%. Non-member subscriptions increased by 6.2%. Total subscriptions in 2010 were up by 3.2%, a significant achievement for a scholarly journal. Pay-per-view revenue through the end of October of 2010 was higher than in 2009. In 2010, *JAPA* received 201 submissions of which 101 were original articles, an increase of 48 submissions over the prior year. The Review of Books continues to be a very popular *JAPA* feature and Rosemary Balsam and Paul Schwaber and their team work diligently to maintain the high quality of the essays and reviews. The 2010 *JAPA* Prize will be awarded at the Meeting of Members tomorrow to Mari Ruti on her paper "The Singularity of Being: Lacan and the Immortal Within" published in Issue 58-6. Dr. Levy is working with Sage to develop a series of Podcasts. The first podcast will feature Mari Ruti's paper. For the 100th Anniversary of APsaA, *JAPA* is publishing a series of papers from distinguished analysts writing about changes in psychoanalysis in America. Drs. Harold Blum, Theodore Shapiro, Arnold Richards, and Dr. Levy are each contributing a piece about American psychoanalysis during their tenures as *JAPA*'s editor-in-chief. A number of other papers are also planned.

Steven Roose continues to edit *Journal Watch*, the purpose of which is to help clinicians keep abreast of the latest research. Brief synopses of articles are published three times a year. Discussion is ongoing with regard to the feasibility of the APsaA offering online CME credit for selected *JAPA* articles.

Phyllis Tyson concluded her term as Associate Editor for Child Analysis and Development in December 2010 and Lee Ascherman has been appointed in her place. Bonnie Litowitz concluded her term as Associate Editor in February of 2011, and the vacancy has been filled by Ellen Rees. They each have been appointed for a five year term. Dr. Levy thanked the Council for electing Charles Jaffe, Rona Knight, Donald Moss, Bret Rutherford, Adele Tutter, and Arnold Wilson as new *JAPA* Editorial Board members for a two year term beginning January 1, 2012.

5. REPORT FROM THE NOMINATIONS ADVISORY COMMITTEE

Ms. Smith, Chair of the Nominations Advisory Committee, explained the major points about the Committee's recommendation. Since 2005, the committee has diligently collected Disclosure Forms from every candidate running for office. Until this past year, all candidates had indicated that they did not have any ethical findings against them. This past year, one candidate running for office had an ethical finding by their institute. In discussing this with the Association's ethics attorney, the Association was advised that it should have a policy in place to deal with this situation. The Nominations Advisory Committee was charged by the President with developing such a policy and procedure. The committee had a long discussion about this. The committee rejected the idea of having a blanket prohibition against someone with an ethics violation running for office. The committee also rejected using any criteria other than objective standards. The committee did not feel they should be reviewing individual situations but rather have a basic procedure for how to handle these situations. The committee felt that the gathering and circulating of information was an appropriate role. The proposed procedures had been circulated to the Executive Council in advance of the meeting.

The Executive Council discussed the proposed policy. Dr. Fishkin noted that the Election Guidelines Task Force had been charged with revising the election guidelines and was unaware that the Nominations Advisory Committee was, at the same time, working on revising the Ethical Disclosure Statement and related policies. It was suggested that the Ethical Disclosure Statement and policies be integrated into the new proposed Election Guidelines. To facilitate this, it was decided that representatives from the Nominations Advisory Committee and the Election Guidelines Task Force would meet and present back to the Executive Council in the afternoon a proposed blending of the two documents.

6. SUMMARY OF MEETING OF BOARD ON PROFESSIONAL STANDARDS – Dr. Ascherman

Dr. Ascherman reported on the meeting of the Board on Professional Standards which was held on June 8, 2011.

Dr. Carney called the meeting to order at 8:14am on Wednesday, June 8. Following calling of the roll, the BOPS minutes from January 5, 2011 were approved. Dr. Carney then initiated a discussion of the ACPE. She described the committee composed of Drs. Narcisi, Weiss, Jacobs, Brett, Benson, Hafter-Grey, Carney and Ascherman charged with developing a model for blended site visits between COI and ACPE. ACPE changed their site visit schedule from five to every seven years in order to coincide with the COI visit cycle, and offered a blended site visit model which we may pilot this fall. They will also integrate the two annual reports to reduce redundancy. A motion was passed in support of a pilot blended site visit to a receptive institute this fall. A second motion was passed recommending that the Executive Council philosophically and financially support the institutes' added costs for ACPE accreditation.

Dr. Carney gave an update on the outcome of the BOPS Strategic Planning Committee and the ad hoc Standards Review Committee. The committees have now been sunsetted.

Committee on Institutes

Dr. Jacobs presented his report on the Committee on Institutes. There was a discussion of the challenges in processing waiver applications. There were five TA/SA appointments which were posted in the back of the room. There were five applications from four institutes requesting a waiver of a training analysis. Four were approved. Two GRSA/TA applications were approved. A third was approved, but deemed unnecessary because of preexisting agreements between the involved institutes.

Committee on New Training Facilities

Drs. Harvey Falit and David Stagner reviewed the recent work of the CNTF including successful establishment of the Oregon and Kansas City Institutes. They continue work with the Wisconsin Psychoanalytic Provisional Institutes, the Provisional Institute of the Berkshires, and the Minnesota Provisional Psychoanalytic Institute. The Minnesota Provisional institute is readying itself for a joint COI and CNTF site visit assessing readiness for full institute status. A new Development subcommittee chaired by Dr. Richard Zeitner was also discussed. This subcommittee is charged to research sites that have the potential for the development of an APsaA affiliated psychoanalytic community. CNTF requested approval of one GRSA/TA for Wisconsin and this was endorsed by BOPS.

COCCA

Dr. Parks reported on approval of Cincinnati's proposal for a Child Focused Program. Two Child SA's were approved. Three GRSAs were approved. One appointment of Child Associate Supervisor was announced.

Certification Examination Committee

Of five graduate analysts, four were certified. Of two previously continued graduate analysts, both were certified. Of three pre-graduates in the pilot study, two were continued, one was incomplete.

Certification and Research Development Committee

Dr. Hollinger spoke about research efforts of CARD in collaboration with Drs. Bucci and Hoffman, co-chairs of CORE, evaluating the certification process in light of their research.

Bylaw Amendment

The proposed Bylaw amendment to add to the Executive Committee two members of the Executive Council as voting members, restoring the votes of the BOPS Chair and Secretary on the Executive Committee and Executive Council and reciprocally restoring the votes of the four APsaA officers on BOPS was discussed. A positive advisory opinion was passed by BOPS with 35 in support, five against, one abstention. A second motion asking Drs. Carney and Ascherman to author the positive advisory opinion for BOPS was passed, 35 for the motion, none against, six abstentions.

Committee on Accreditation of Free Standing Institutes

Dr. Al Robbins provided a report and recommendations of the CAFI. They have been continuing their outreach efforts to the WAW, the AIP, and the Horney Institute. After reviewing the history of the Carlson Report, Dr. Robbins recommended that this report be sunsetted, and replaced by a new model for bringing free standing institutes into the American. Dr. Benson noted that the Carlson Report was progressive in its time, but is currently limiting our ability to bring in new institutes. Drs. Pyles, Benson and Nuetzel will draft a new model for bringing WAW and possibly other institutes into the association. A historic motion was made to sunset the Carlson Report. This motion was approved unanimously with 38 in support, none opposed, no abstentions.

Affiliate Council

Dr. Hilli Dagon-Clark provided her report on the Affiliate Council.

Committee on Preparedness and Progress

Ms. Stevie Smith reported on the committee's review of two applications from the Oregon and NYU Institutes respectively. Both were approved.

Committee on Research and Special Training

Dr. Robert Paul reported on the review of a CORST candidate; this was also approved. He also has inquiries from 7 institutes regarding 12 individuals who are also potential future CORST candidates. Dr. Paul also told the BOPS that the first gathering of his task force on university and medical center initiatives will be held Friday at these meetings.

Committee on Psychoanalytic Education

Dr. Robert Michels reviewed the activities of COPE. He met with Dr. Hilli Dagony-Clark to discuss the introduction of candidates into the activities of COPE, and the initiation of several new COPE study groups. Dr. Michels is stepping down as chair of COPE and a search for a new chair is underway.

Finance Committee

Dr. Ron Benson noted that BOPS expenditures were accurately gauged in the last budget cycle, and that the full breath of BOPS activities remains only 5.5% of the total APsaA budget.

There was no new business and the meeting was adjourned at 3:45pm.

Dr. Procci thanked Dr. Ascherman for the report.

7. ACPE

Dr. Procci explained that ACPE is the acronym for Accreditation Council for Psychoanalytic Education, the external accrediting body that grew out of the Psychoanalytic Consortium. Four organizations constitute the Consortium – APsaA, Division 39 of the American Psychological Association, the American Academy of Psychoanalysis and Dynamic Psychiatry, and the American Association for Psychoanalysis in Clinical Social Work. He reminded the Executive Council that the Executive Committee had approved in principal providing ACPE with \$40,000 (a total of \$100,000 from the Consortium) in order prepare itself to seek recognition by the U.S. Department of Education. It was felt that it would be beneficial to have a national external accrediting body in psychoanalysis. At the January 2011 Executive Council meeting, Dr. Procci had reported that the Executive Committee wanted to assure that BOPS was comfortable working with the ACPE. A significant element involved trying to coordinate site visits between APsaA's Committee on Institutes and the ACPE. Over the past several months, the BOPS leadership has worked with APsaA's institutes and made sure they were aware of the ACPE requirements. There has been a willingness to work out a 7-year joint site visit schedule where the site visits will happen during the same time period but be conducted separately.

Based on the Executive Committee's support of this endeavor and the support from BOPS, Dr. Procci asked the Executive Council to consider an out-of-cycle budget request for \$20,000 in the current fiscal year and an allocation of \$20,000 out of the FY 2012 budget. After a discussion period of what ACPE site visits might entail as well as the potential additional workload for institutes, it was moved, seconded and approved to provide the sum of \$40,000 as a grant to the ACPE - \$20,000 out of the current fiscal year and \$20,000 from FY 2012.

8. REPORT FROM THE COMMITTEE ON COUNCIL

Dr. Fishkin, the Chair of the Committee on Council, presented the committee's report. He noted that the Committee had met the night before and briefed new Councilors and Alternates using the revised Council Briefing Book.

He indicated that the Treasurer will recommend that the Council authorize the Committee on Council to create a plan for an elected Council Budget & Review Committee and Council Investment Committee and that the plan be presented to the Executive Council in January 2012. The Committee discussed the value of including non-Council members on these two proposed committees so as to bring existing expertise to their activities such as a representative from BOPS or members with financial and/or investment expertise.

Dr. Fishkin also reported the Committee's interest in voting Executive Council representation for candidate members. This has been discussed for several years and has not been acted on despite general agreement about its desirability. The Committee considers this a high priority and reminded the Executive Council that candidate members are prohibited from voting for Councilors in some societies. It was also suggested that when this issue is studied, consideration is also given to APsaA members who are IPA institute graduates or newly admitted Expanded Pathway members who are not represented on Council in the same way. The Committee asked that Council authorize the Policies and Procedures Committee to study the matter and report back to the Council at its January 2012 meeting.

The Committee on Council recommended that further efforts should be made to ensure that voting for a Society Councilor be limited to APsaA members, as stipulated in the APsaA bylaws. The National Office sends a yearly letter to each Society reminding it that it must inform the National Office of changes in representation by Councilor or Alternate by a certain date (60 days prior to the date of the Executive Council meeting). The Committee suggested that sending this letter with the following information could help to minimize this ambiguity:

- a. A reminder as to whether the Society is an A or B Society and whether it should be holding an election for Councilor and Alternate in either the odd or even years as required by the Bylaws.
- b. A reminder that the Bylaws require that only APsaA members should vote in elections for Councilor and Alternate and requesting that the ballots for these elections be separate from election of local Society officers.
- c. A reminder that the APsaA members of each local society can be determined by checking the current APsaA roster on the website.

Upon motion duly made and seconded, the report and recommendations of the Committee on Council were approved.

9. PROPOSED FY 2012 BUDGET

Dean Stein presented the proposed FY 2012 budget. He outlined the process by which the budget was put together.

When the FY 2012 proposed budget was originally put together, there was a budgeted deficit of \$433,911. This was primarily due to the fact that income was not increasing in any significant way but committees were coming up with more activities for the Association to pursue and costs, in general, increase. Almost every year, programmatic ideas and enthusiasm exceed our income. The challenge is always to try to reign in the expense side of the budget to narrow the gap between what we wish we could do and what we realistically can do.

By the time the Executive Committee and the Finance Committee completed their reviews, the budgeted deficit was reduced to \$167,611.

Mr. Stein noted that the \$167,611 number may seem like a large number but the Association's experience has shown that a budgeted deficit in that range almost always is eliminated by the time the fiscal year ends as a result of conservatively budgeting income and budgeting expenses to allow for surprises. Also, many committees submit budget requests and their plans change during the course of the year so they are unable to do the work they proposed to do.

Mr. Stein led the Council through a number of specific areas in the proposed budget that he thought they would want to know about and then answered specific questions about the proposed budget.

Upon motion duly made and seconded, the FY 2011 proposed budget was approved.

10. TREASURER'S REPORT

Dr. Schachter reviewed an item that had been removed from the Consent Calendar – two policies concerning APsaA's Reserve Funds – an investment policy and a Use policy for the Reserve Funds.

Upon motion duly made and seconded, the two proposed policies were approved.

Dr. Schachter distributed a report that summarized her term as Treasurer and her hopes for the Association's future. The two main issues she stressed were that the Council should focus on its fiduciary responsibility and her strong belief that the Executive Council can create structures to address the Association's financial needs.

She moved that the Committee on Council investigate and plan for an elected Executive Council Budget and Review Committee organized according to the Association's usual procedures and based on the mandate previously adopted for the existing Finance Committee. The recommendation is to be presented at the January 2012 Executive Council meeting.

Dr. Schachter also moved that the Committee on Council study and plan for an elected Executive Council Investment Committee organized according to the Association's usual procedures with the mandate previously adopted for the Investment Subcommittee of the Finance Committee. The recommendation is to be presented at the January 2012 Executive Council meeting.

Dr. Procci noted that these motions were already approved as part of the Committee on Council report and he thanked Dr. Schachter for her work as Treasurer.

11. MRRC REPORT

Dr. Procci reported that seven individuals trained outside of APsaA/IPA institutes were accepted as Active members by the Membership Requirements and Review Committee. Three applicants were deferred because of incomplete applications. The list of the new members was posted at the back of the meeting room. The training of the seven accepted individuals is equivalent to that of APsaA institute standards. Dr. Procci noted that this was a historical moment for the Association – welcoming analysts as members who had trained outside of APsaA or IPA institutes but whose training was substantially equivalent.

Lunch Recess: Noon – 1:00pm

The afternoon session was called to order at 1:04 p.m.

12. STRATEGIC PLANNING SESSION

Steve Carey, the president and lead strategist for Association Management & Marketing Resources (AMMR), led a presentation about strategic planning in general and what steps the Association had taken so far in developing its first strategic plan.

He noted that Dr. Procci had appointed a Strategic Planning Task Force in the fall of 2010, the goal being to include a group of members that was reflective of the Association's overall membership in a number of key ways such as: age, gender, geographical distribution, and professional discipline. The members of the Task Force include: Andrew Gerber, Prudence Gourguechon, Richard Grossberg, Ethan Grumbach, Lee Jaffe, Kimberlyn Leary, Steve Levy, Robert Pyles, Jennifer Stuart, Stacia Super, and Harriet Wolfe. Drs. Gourguechon, Grumbach, Jaffe, Leary, Pyles, Stuart and Wolfe were present for the Council discussion.

The Strategic Planning Task Force met with AMMR at the end of the January 2011 Meeting and a preliminary timetable and work plan was developed. Dr. Carey indicated that AMMR's approach to Strategic Planning is based on extensive work with other national membership associations and on doing extensive research within each association. Between February and April 2010, five different components of research were conducted:

1. In-depth interviews were conducted with 32 members of APsaA and other psychoanalytic organizations.
2. Six focus groups involving a total of 42 members were held. These groups included respondents representing a very wide range of constituents including members who were not particularly involved in the Association, candidates, Alliance members, BOPS members, Associates, and former members.
3. An Environmental Scan Survey, an instrument which collected data about APsaA's unique environment, was sent to 70+ leaders and stakeholders, the majority of which were APsaA members and the remainder were individuals representing colleague organizations. Twenty-four scans were returned, an above average response for this type of instrument. The Environmental Scan ensured that key issues were discovered and cross checked with the other research instruments.
4. A Quantitative Survey was sent to the entire APsaA membership. Over 600 were returned.
5. An online survey consisting of two open-ended questions was made available on the APsaA website. This was a further effort to assure that anyone in the membership who felt that s/he hadn't had an opportunity to be heard could do so.

The Task Force, thus, had at its fingertips a comprehensive data base, which was as inclusive as possible. It was designed to encourage involvement from all APsaA constituent groups representing all possible points of view.

The Strategic Planning Task Force met in New York April 22-24 to review and catalogue all of this extensive research and to prepare a preliminary draft of a Strategic Plan including a Core Purpose discussion, Vision, Mission and Priority Objectives for the future of APsaA. The group process consistently adhered to the research findings and key issues discovered in the research were cross checked with the plan to ensure they were addressed.

The draft strategic plan had been mailed to the entire APsaA membership for comment and was circulated to the Executive Council in advance of its meeting. Dr. Carey noted that the key goal areas and objectives outlined in the plan call for a significant amount of work as the next step in order to put the plan into effect.

Dr. Carey summarized the outcome of the Alternative Futures exercises in which the Task Force participated. The **key lessons learned** were:

- The need to rise above infighting and come to common agreement.
- The need for more flexibility in educational standards.
- The need for affordable training.
- The need for a significant reconfiguration and simplification of APsaA's governance structure.
- The need for effective visionary leadership.
- The need to strengthen alliances and watch competitors.
- The need to maintain focus on and relevance to each segment within the Association.
- The need to develop a plan with discipline to achieve the Association's goals.

In doing their work, the Strategic Planning Task Force identified their **priority core values**. It was assumed that the values of honesty and integrity are ever-present,

- Agility and flexibility
- Focus on the future
- Action oriented
- Visionary leadership
- Creativity and innovation
- Organizational community

Dr. Carey then read the current **Purpose of the Association** from its Articles of Incorporation: It should be the purpose of the American Psychoanalytic Association to study and advance psychoanalysis, to advocate and maintain standards for the training of psychoanalysts and for the practice of psychoanalysis, to foster the integration of psychoanalysis with other branches of science, and to encourage research in all fields having to do with the scientific knowledge and welfare of man.

He noted that the Purpose is very much externally focused but he felt the Association had strayed and become more internally focused.

In doing their work, the Task Force established their **Guiding Core Purpose**:

- Our profession promotes the examined life.
- Our profession integrates the inner life with the outside world.
- Our profession advances human potential and relieves suffering through psychoanalytic research and understanding.

The Task Force's **Draft Vision and Mission Statement** are as follows:

We envision a world in which psychoanalytic knowledge advances human potential and relieves suffering.

APsaA's mission is to actively promote its vision within the framework of the following goal areas:

- a. Professional education and development
- b. Research and scholarship
- c. Advocacy and public relations
- d. Marketing, advancement (fund development), and communications
- e. Membership, institute, center, and local society operations
- f. Governance and management

Dr. Carey then reviewed the specifics of the draft plan and a lively discussion followed. Members of the Executive Council provided feedback and suggestions for clarifying and improving the goal areas and objectives. Dr. Carey indicated that the many comments and suggestions would be incorporated into the draft plan. He then outlined the next steps:

1. Hopefully, the Executive Council would approve the draft plan.
2. During the summer and early fall, the Strategic Planning Task Force would then develop action plans and tactical initiatives for prioritized objectives with metrics for evaluation.
3. Later in the fall, the Executive Committee and staff would conduct an internal program assessment to ensure the Association's current programs are aligned with the new plan and initiatives.
4. Then the staff with key operating committees would develop a business plan and budget based on the new plan.
5. Ideally, the staff, Executive Committee, and Executive Council would then review the plan's progress and develop appropriate "tune-ups" on a quarterly or bi-annual basis.

Upon motion duly made and seconded, the Executive Council unanimously accepted the Strategic Plan and authorized the Strategic Planning Task Force to revise the Goal Areas and Priority Objectives based on the Council discussion and then develop the tactical initiatives to implement the plan.

Dr. Procci thanked Dr. Carey for his guidance and expertise and he thanked the entire Strategic Planning Task Force for their dedication.

13. PROPOSED BYLAW AMENDMENT

Dr. Procci noted that the proposed bylaw amendment being considered and voted on was the version that was distributed at the Council meeting, not the version that was received in advance of the meeting. The version distributed in advance of the Council meeting had some typos and errors.

Dr. Procci explained the proposed bylaw amendment was drafted by 50 members of the Association. The procedure for a member petition proposed bylaw is that it goes to the membership for a vote regardless of any vote by the Executive Council. However, the vote of the Executive Council is provided to the membership when the bylaw is presented for a vote.

Dr. Pyles reviewed the proposed bylaw amendment which is about the structure of the Executive Committee. The Executive Committee currently consists of four officers, the two BOPS officers

as non-voting members, and two members of the Executive Council (formerly the two members of the Executive Council were selected by the President and now will be elected by Council). Dr. Pyles felt the proposed bylaw represents a balancing of the two major functions of the Association – education and membership. The Executive Council operates as the board of directors and concerns itself with membership issues and BOPS concerns itself with educational matters. He felt that both bodies should have a voting voice on the Executive Committee, the BOPS officers should have a voting voice on the Executive Council, and the officers should have a voting voice on the Board on Professional Standards.

Dr. Pyles felt the proposed bylaw amendment was an example of representative democracy. The bylaw does not change the number of members of the Executive Committee but does make for more effective functioning of governance between meetings of Executive Council.

The Executive Council then discussed the proposed bylaw amendment. There were strong feelings in support and in opposition to the proposed bylaw amendment.

As a result of timing issues, Dr. Procci asked the Executive Council to table the discussion of the proposed bylaw amendment so Dr. Perez could present her report from the Task Force on the Future of the June Meetings.

14. REPORT OF THE TASK FORCE ON THE FUTURE OF JUNE MEETINGS

Dr. Carmela Perez, Chair of the Task Force on the Future of the June Meetings, presented the findings from her Task Force's work – a majority opinion and a minority opinion (distributed in advance of the meeting).

The Executive Council raised several questions about the report of the Task Force. There was concern about assumptions concerning the future of the Council meetings? Dr. Perez noted that the Task Force's proposals only involve the scientific meetings. It was noted that the national meetings provide time to get together to maintain connections with people from other parts of the country and that perhaps the Association should not think about the Spring meetings only in an economic sense. However, it was noted that attendance at the June meetings tends to be between 450 and 800 and APsaA member registrants total between 300 and 500. Therefore, the Association is providing a benefit of great cost to a minority of the members. Should the Association be asking the membership to subsidize the small numbers who come to spring meetings? Might it be more useful to develop something that will benefit to more members. It was suggested to look into symposia over a weekend for mental health professionals, not just APsaA members. APsaA could work with local societies to put on an event like this that would be much more visible and meaningful to all members of the local society.

It was noted that, if the majority recommendation is accepted, there would be no meeting in June of 2012. It was also noted that, if Executive Council does not accept majority opinion, then the Council must accept minority recommendation. When asked about moving the time of the meetings, Dr. Perez noted that the Task Force did not really address changing the date as a separate possibility since previous Task Forces had discussed this. It is impossible for staff to put on meetings in January and May, too close a turnaround.

Dr. Leary, Chair of the Program Committee, noted that the Program Committee had created an advisory group to engage with Task Force. They were sensitive to financial metrics and they understood that there were pragmatic reasons to innovate and consider other structures. One issue they realized was that many people may have wonderful ideas to draw registrants but those ideas may not map onto what members really want. Dr. Leary plans to do an electronic

survey to get members to play a role in redesigning format. She acknowledged that financial issues need to be considered as well.

It was moved and seconded to accept the majority report which would mean that June meetings in their present structure would end and the President would appoint a Task Force to explore a new structure for future June meetings but with no timeline associated with it. The vote was 14 approved, 16 opposed, and no abstentions. The motion failed.

It was then moved and seconded to accept the minority report which would ask the Program Committee to develop a new 2-3 day June meeting format after conducting a survey of the membership with a timeline that the new format be implemented in June 2013. The June 2012 meeting could continue in the current format or be canceled. The vote was 24 approved, 1 opposed, and 3 abstentions. The motion passed.

It was moved and seconded to hold a June 2012 Meeting in the current structure. The vote was 21 approved, 0 opposed, and 8 abstentions.

15. REPORT FROM ELECTION GUIDELINES TASK FORCE AND THE NOMINATIONS ADVISORY COMMITTEE

The Election Guidelines Task Force was asked to revise the Association's Election Guidelines. Simultaneously and independently, the Nominations Advisory Committee felt the need to revise the Ethical Disclosure Statement signed by all candidates running for office. Drs. Fishkin and Glover from the Election Guidelines Task Force as well as Ms. Smith and Dr. Gourguechon from the Nominations Advisory Committee met earlier in the day to integrate the two separate recommendations. The integrated version was distributed to the Council.

The Council discussed the proposed changes and settled on a final version. It was acknowledged that once these guidelines are put into practice, some fine tuning may need to be done.

It was moved and seconded to approve the integrated report of Election Guidelines Task Force and the Nominations Advisory Committee – the proposed guidelines as well as the revised disclosure statement. The new guidelines would eliminate of the Election Oversight Committee. The final version is appended at the end of these minutes.

The motion was approved unanimously.

16. CONCLUSION OF PROPOSED BYLAW AMENDMENT DISCUSSION

It was clarified that the Executive Council needed to make a recommendation to the membership concerning the proposed bylaw amendment since it was not proposed by the Executive Council itself but rather by 50 members. Time constraints precluded a full discussion of the issues and a motion to "vote your conscience" was offered as a compromise.

It was moved and seconded that the Executive Council recommend that members vote their conscience on the proposed bylaw. The motion was approved.

17. NEW BUSINESS

There was no New Business.

18. ADJOURNMENT

It was moved, seconded and unanimously approved to adjourn the meeting at 4:50pm.

APsaA ELECTION GUIDELINES

PART I. INTRODUCTION

The intent of the guidelines is to encourage and to maintain a fair election process in an ethical, dignified and courteous manner.

These guidelines are operative during the election period, which begins with the announcement of a proposed slate of candidates at the Annual Meeting of Members in June. It concludes with the close of balloting and after a candidate for each contested position has received a majority of the votes cast, as required by the Bylaws for a completed election. They may be amended or replaced by majority vote of the Executive Council and are operative until the Council takes such action.

PART II. RESOURCES

A. Use of Personal Resources

The use of the personal financial resources of candidates and those of his or her family is permitted.

B. Use of Resources of Supporters

1. Allowed:

- a. Groups of members may form to provide support, including financial support, to the cause of one or several candidates.
- b. Candidates may promote such informal groups of supporters.
- c. Letters of support and emails over multiple signatures are permitted.
- d. There is no restriction on the frequency of use of telephone and direct, personal contacts with members.

2. Not allowed:

- a. Use of the resources of any institution, such as a university or a psychoanalytic society, with which the candidate is affiliated to defray campaign expenses such as postage, stationery and labor.
- b. No campaign communication should be anonymous or posted in the name of supporters without their permission.

C. Use of Communication Media

“Communications media” refers to all physical methods of communicating to others, whether oral, written or electronic. These are unrestricted.

D. Use of the Resources of the Association

Candidates and their supporters shall have equal access to resources of the Association available for election campaigns. These resources include:

1. Mailing list of members (available as printed labels that the Association may charge for) for print mailings.
2. APsaA Email List Serves. The Association does not sell or otherwise make available the membership email address database. Candidates and their supporters may compile their own email database or make use of the Association's email listserves as follows:
 - a. The Members' List
Campaigning is prohibited on the Members List during the election period. Comments on proposed bylaw amendments, when submitted by candidates, should be posted on the Elections List.
 - b. The Open Line List
Candidates for office and any other voting member may post as often as they wish on the Open Line List.
 - c. The Elections List
Candidates for office may post as many messages as they wish on the Elections List. This list shall remain operative until a candidate for each contested position has received a majority of the votes cast, as required by the Bylaws.
 - d. The Election Discussion List
Any voting member may discuss the statements and positions of the candidates for office on the Elections Discussions List. This list shall remain operative until a candidate for each contested position has received a majority of the votes cast, as required by the Bylaws.
 - e. As there is no Election Oversight Committee, the existence of the Elections List and Election Discussion List is crucial so that candidates who are involved in a perceived violation of the Guidelines will be able to take their case or their defense promptly and directly to the members, who will be in a position to evaluate the complaint and take it into account before they vote.
3. Candidates who are incumbent in an office, or serve as a committee or task force chair, should refrain from covert campaigning while communicating to the members in the service of their office. Some communication by office holder/candidates is inevitable. For example, the Secretary must post Minutes. In such cases, however, the incumbent candidate must be scrupulous in avoiding any use of his or her incumbency as a podium for campaigning.

4. The Editor of The American Psychoanalyst (*TAP*) will invite each candidate to write a statement of reasonable length as determined by the Editor for the September issue of *TAP*. The deadline for submission of such material to *TAP* will also be determined by the Editor.
 5. The Association will not take responsibility for, nor review, contents of election materials. Inappropriate, defamatory or libelous statements will be the responsibility of the candidate.
- E. Use of Resources of Affiliate Societies, Institutes and Centers
- APsaA cannot regulate the activities of its independent constituent societies, institutes and centers but strongly encourages that they use the following guidelines.
1. Newsletters of societies, institutes and centers may provide accounts of candidates' positions, accompanied by photographs, provided that equal coverage is given to each candidate.
 2. Affiliate societies, institutes and centers may invite candidates to speak. Candidates are permitted to solicit or create such invitations from affiliates. In the interest of fairness such invitations should be given to all candidates, though not necessarily at the same time. The inability or refusal of one candidate to attend should not preclude the holding of such meetings, but the society is encouraged to document the inability or refusal of the other candidate to attend. Scientific presentations that have been arranged prior to nomination for office are exempt from balance requirements for the speaking engagements that pertain to campaigning. Such scientific presentations are exempt from the requirement for balance as long as they are not combined with campaign events sponsored by the local society or institute.
 3. Groups of members in any locale may sponsor receptions for a candidate, provided that society, institute or center resources are not utilized in any way. Such private groups do not have to provide opposing candidates with a similar invitation.
 4. It is permissible for candidates to communicate their positions by circulating video or electronic media to affiliate societies. Societies, institutes, and centers are strongly encouraged to make this opportunity available to all candidates.

PART III. Standards of Conduct

A. Members

1. All candidates are expected to adhere to common standards of decency and ethical behavior. The use of innuendo, personal attacks, untruth or damaging rumors in any form is unacceptable.
2. Members who are not candidates for office are subject to the same guidelines as are candidates in their use of personal, Association and local society resources in their campaigning for the election of candidates.

B. Participation of Officers and Elected or Appointed Officials

Members of the Executive Committee, Chair and Secretary of BOPS, the Parliamentarian, Councilors-at-Large, the Editor of the Journal of The American Psychoanalytic Association, the

Editor of The American Psychoanalyst (TAP), the Chair of the Program Committee, Chair of the Public Information Committee, members of the Nominations Advisory Committee, and others of significant visibility, while incumbent in these positions, may not utilize their offices as a platform for endorsing or otherwise advocating any candidate in elections for national office within the Association.

None of these officers, elected and appointed officials, are prohibited from seeking reelection, if eligible, or from running for another office, in or outside of the Association. In such cases, however, the incumbent candidate must be scrupulous in avoiding any use of his or her incumbency as a podium for campaigning.

C. Method of Dealing with Alleged Violations of these Guidelines

On occasion candidates for office and their supporters may believe that these Guidelines have been violated. In the past an Election Oversight Committee served an educative function, but it was ineffective over the course of many elections. These Revised Election Guidelines eliminate that method for dealing with alleged violations. The following procedures are now in operation to address this problem.

1. Upon nomination by the Council or after nomination by petition, each candidate for office will sign a statement that they have read the Election Guidelines and agree to abide by them.
2. If a candidate believes that an opponent or a supporter of an opponent has violated the Guidelines, he or she may write a letter to the members via the Election List. If a member believes that a candidate or another member has violated the Guidelines he or she may post an email to that effect on the Election Discussion List.
3. These communications should clearly spell out the nature of the alleged violation and specify the section of the Election Guidelines that have been violated.
4. All parties who are alleged to have violated the Guidelines must be named. The sources of information, personal or documentary, must be specified by name, if a person, or by copy, if a document. The actions that are the subject of the complaint must be described in detail. The complaint must be based on facts, not on rumors.
5. A candidate or the supporter of a candidate who is accused of a violation of these Election Guidelines, may use the Election List, and the supporter of a candidate who has been accused may use the Election Discussion List to defend against the allegation. The specifications for this defense are identical to those in # 4 (above.)
6. The members of the Association will serve as the evaluators of these statements and decide for themselves if a violation has occurred or not, and will choose their preferred candidate based on this and other criteria. Thus, the members will provide election oversight for themselves.
7. For this system to work, it is essential that the Elections List and the Election Discussion List function during the entire period of the election as venues for this method of dealing with charges of election violations.

D. Ethical Disclosure

1. When a member agrees to have their name proposed to the Executive Council (to be nominated for an officer position or for Councilor-at-Large), staff will request that the member complete the attached Disclosure Form. Candidates who are nominated by petition will also be asked to complete the attached Disclosure Form.
2. Staff will check completed Disclosure Forms against the APsaA membership database: a) to confirm the candidate is a member in good standing; and b) to verify if the member has had an ethical finding by the APsaA Ethics Committee. In a situation where a candidate has provided information different than what is in the APsaA membership database or has made a positive response to the Disclosure questionnaire or has a documented finding by APsaA's Ethics Committee, staff will bring the information back to the Nominations Advisory Committee and the Association's Secretary. The Secretary will consult with the Chair of the Ethics Committee. Potential candidates found to have made false statements will be removed from the ballot.

PART IV. ANNOUNCEMENT OF VOTE TALLIES

Election and voting procedures are the responsibility of the Secretary. The Secretary of the Association will disclose the results of elections to the candidates as soon as possible after the count is completed and a candidate for each contested position has achieved a majority of the votes cast, as required by the Bylaws. After the candidates have been informed, results will be circulated to the membership using those forms of communication or publication that are appropriate and available.

Approved by the Executive Council
June 9, 2011



Disclosure
CANDIDATES FOR OFFICE

Signing this statement signifies that you have read the latest Principles of Ethics for Psychoanalysts of the American Psychoanalytic Association and Provisions of Implementation of the Principles of Ethics for Psychoanalysts as amended and revised, and certifies that you agree to abide by these stated ethical principles. Please note, candidates are not necessarily disqualified as a result of any specific answer.

Nominees for APsaA office must:

- 1. Be professionally respected.
2. Have demonstrable leadership abilities.
3. Have experience working in and with APsaA.
4. Commit themselves to work for APsaA and attend its business meetings.

The candidate for office must answer each of the following questions:

1. Have you ever had an adverse finding against you by any professional society or licensing board?
[] No [] Yes If yes, please explain.

2. Have you ever had an adverse legal finding against you or settled a claim for malpractice?
[] No [] Yes If yes, please explain.

3. Have you withdrawn an application for any professional license or been denied a license for any reason?
[] No [] Yes If yes, please explain.

4. Have you ever been disciplined by a professional organization?
[] No [] Yes If yes, please explain.

5. Have you ever been convicted of a felony?
[] No [] Yes If yes, please explain.

6. Are there any pending ethical or felony charges or investigations against you?
[] No [] Yes If yes, please explain.

7. Do you know of any reason that you might not be able to complete your term?
[] No [] Yes If yes, please elaborate.

Disclosure Statement
Candidates for Office
Page Two

The procedure regarding this disclosed information is as follows:

1. Every candidate who has been nominated by the Executive Council or through a member petition by June 30 is invited to write a position statement for the fall issue of TAP. At the end of that statement, a summary sentence will be appended regarding the answers on this disclosure statement. For example, the summary sentence may say, "Jane Doe reports no ethics findings, malpractice actions or licensing board actions." Or, the summary sentence might say "Jim Doe reports an ethics finding against him."
2. If a candidate enters the race after the fall issue of TAP is "closed," a brief announcement will be made to the Association list indicating that "Abel Brand has entered the current race for the office of President-elect/Secretary/Treasurer, nominated by petition." [Then a sentence analogous to those appended to the TAP articles will be added]. "Dr. Brand reports no ethical findings, malpractice or licensure actions." [or he does].
3. This full disclosure statement will be circulated to voters along with the candidates' position statement at the time that ballots are mailed to the APsaA membership.

I agree and understand that a candidate's untruthful response to the above questions or a false statement of qualification shall be grounds for removal from the ballot by the Executive Council or a committee it designates. I have read both pages of this document and agree to the outlined procedures.

Name: _____
(Please Print)

Signature

Date